



Department of Health

ANDREW M. CUOMO
Governor

HOWARD A. ZUCKER, M.D., J.D.
Commissioner

SALLY DRESLIN, M.S., R.N.
Executive Deputy Commissioner

March 27, 2019

CERTIFIED MAIL-RETURN RECEIPT REQUESTED

Surendra Kumar Johri, M.D.
[REDACTED]

Re: License No. 238870

Dear Dr. Johri:

Enclosed is a copy of the New York State Board for Professional Medical Conduct (BPMC) Order No. 19-064. This order and any penalty provided therein goes into effect April 3, 2019.

You are required to deliver your license and registration within 5 days of the effective date of the surrender provision to: c/o Physician Monitoring Unit, NYS DOH - OPMC, Riverview Center, Suite 355, 150 Broadway, Albany, NY 12204-2719.

If your license is framed, please remove it from the frame and only send the parchment paper on which your name is printed. Our office is unable to store framed licenses.

If the document(s) are lost, misplaced or destroyed, you are required to submit to this office an affidavit to that effect. Please complete and sign the affidavit before a notary public and return it to the Office of Professional Medical Conduct.

Please direct any questions to: NYS DOH - OPMC, Riverview Center, Suite 355, 150 Broadway, Albany, NY 12204-2719, telephone # (518) 402-0846.

Sincerely,

[REDACTED]
Robert A. Catalano, M.D.
Executive Secretary
Board for Professional Medical Conduct

Enclosure

cc: Daniel R. Ryan, Esq.
Smith, Sovik, Kendrick & Sugnet, P.C.
250 South Clinton St., Suite 600
Syracuse, New York 13202

NEW YORK STATE
STATE BOARD FOR PROFESSIONAL MEDICAL CONDUCT

DEPARTMENT OF HEALTH

IN THE MATTER

OF

SURENDRA JOHRI, M.D.

BPMC No. 19-064

SURRENDER

ORDER

Upon the application of (Respondent) Surendra Johri, M.D. to surrender his license as a physician in the State of New York, which is made a part of this Surrender Order, it is

ORDERED, that the Surrender, and its terms, are adopted and it is further

ORDERED, that Respondent's name be stricken from the roster of physicians in the State of New York; it is further

ORDERED, that this Order shall be effective upon issuance by the Board, either

- by mailing of a copy of this Surrender Order, either by first class mail to Respondent at the address in the attached Surrender of License application or by certified mail to Respondent's attorney, OR
- upon facsimile transmission to Respondent or Respondent's attorney,

Whichever is first,

SO ORDERED.

DATE: 03/27/2019


ARTHUR S. HENGERER, M.D.
Chair
State Board for Professional Medical Conduct

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NEW YORK STATE DEPARTMENT OF HEALTH
STATE BOARD FOR PROFESSIONAL MEDICAL CONDUCT

IN THE MATTER
OF
SURENDRA JOHRI, M.D.

SURRENDER
OF
LICENSE
AND
ORDER

Surendra Johri, M.D., represents that all of the following statements are true:

That on or about February 6, 2006, I was licensed to practice as a physician in the State of New York, and issued License No. 238870 by the New York State Education Department.

My current address is [REDACTED]

I understand that the New York State Board for Professional Medical Conduct (Board) has charged me with one or more specifications of professional misconduct, as set forth in a Statement of Charges, marked as Exhibit "A", which is attached to and part of this Surrender of License.

I am applying to the State Board for Professional Medical Conduct for permission to surrender my license as a physician in the State of New York on the grounds that I agree not to contest the allegations of the Seventeenth (Gross Negligence) specification in full satisfaction of the charges against me.

I ask the Board to accept my Surrender of License, and I agree to be bound by all of the terms set forth in attached Exhibit "B".

I understand that, if the Board does not accept my Surrender of License, none of its terms shall bind me or constitute an admission of any of the acts of misconduct alleged;

this application shall not be used against me in any way and shall be kept in strict confidence; and the Board's denial shall be without prejudice to the pending disciplinary proceeding and the Board's final determination pursuant to the Public Health Law.

I agree that, if the Board accepts my Surrender of License, the Chair of the Board shall issue a Surrender Order in accordance with its terms. I agree that this Order shall take effect upon its issuance by the Board, either by mailing of a copy of the Surrender Order by first class mail to me at the address in this Surrender of License, or to my attorney by certified mail, or upon facsimile transmission to me or my attorney, whichever is first. The Surrender Order, this agreement, and all attached exhibits shall be public documents, with only patient identities or other confidential information, if any, redacted. As public documents, they may be posted on the Department's website(s). OPMC shall report this action to the National Practitioner Data Bank, the Federation of State Medical Boards, and any other entities that the Director of OPMC shall deem appropriate.

I ask the Board to accept this Surrender of License, which I submit of my own free will and not under duress, compulsion or restraint. In consideration of the value to me of the Board's acceptance of this Surrender of License, allowing me to resolve this matter without the various risks and burdens of a hearing on the merits, I knowingly waive my right to contest the Surrender Order for which I apply, whether administratively or judicially, and I agree to be bound by the Surrender Order.

I understand and agree that the attorney for the Department, the Director of the Office of Professional Medical Conduct and the Chair of the State Board for Professional Medical Conduct each retain complete discretion either to enter into the proposed

agreement and Order, based upon my application, or to decline to do so. I further understand and agree that no prior or separate written or oral communication can limit that discretion.

DATE 3-25-19

[REDACTED]

SURENDRA JOHRI, M.D.
RESPONDENT

The undersigned agree to Respondent's attached Surrender of License and Order and to its proposed penalty, terms and conditions.

DATE: 3/25/19

[REDACTED]
DANIEL R. RYAN, ESQ.
Attorney for Respondent

DATE: 3/25/19

[REDACTED]
PAUL TSUI
Associate Counsel
Bureau of Professional Medical Conduct

DATE: 3/26/19

[REDACTED]
KEITH W. SERVIS
Director
Office of Professional Medical Conduct

EXHIBIT "A"

IN THE MATTER

OF

SURENDRA JOHRI, M.D.

STATEMENT
OF
CHARGES

Surendra Johri, M.D., the Respondent, was authorized to practice medicine in New York State on or about February 6, 2006, by the issuance of license number 238870 by the New York State Education Department.

FACTUAL ALLEGATIONS

- A. On or about February 7, 2019, in the Utica City Court, City of Utica, County of Oneida, State of New York, Respondent was found guilty, based upon a verdict of guilt following a bench trial, of Forcible Touching, a Class A misdemeanor in violation of Penal Law § 130.52, and two counts of Sexual Abuse in the Third Degree, a Class B misdemeanor in violation of Penal Law §130.55. Respondent was convicted in relation to his conduct towards Patients A and B (patients are identified in the attached Appendix).
- B. Respondent, a psychiatrist, provided medical care to Patient A, a 43-year old female at the time treatment began, at various times from on or about July 2010 through on or about October 2017, at his office located in Little Falls, New York and then at Upstate Cerebral Palsy/Community Health Behavioral Services ("UCP"/"CHBS"), 1427 Genesee Street, Utica, New York 13501, for anxiety and bipolar disorder, among other conditions. Respondent's conduct towards Patient B deviated from accepted standards of medical care as follows:

1. Respondent, on one or more occasions, asked Patient A questions about her sex life with her husband for no adequate medical reason and/or failed to document any adequate medical reason for asking such questions on one or more occasions.
 2. Respondent, during an office visit on or about January 21, 2017, had inappropriate physical contact with Patient A by hugging her and touching her breast with his hand.
 3. Respondent, during an office visit on or about April 15, 2017:
 - a. hugged Patient A; and/or
 - b. kissed Patient A on the neck; and/or
 - c. rubbed his erect, clothed penis against her body.
- C. Respondent, a psychiatrist, provided medical care to Patient B, a 40-year old female at the time treatment began, at various times from on or about January 2015 through on or about November 2017 at his office located at UCP/CHBS, 1427 Genesee Street, Utica, New York 13501, for bipolar disorder and addiction issues, among other conditions. Respondent's conduct towards Patient B deviated from accepted standards of medical care as follows:

1. Respondent, on one or more occasions, had inappropriate physical contact with Patient B of a sexual nature.
2. Respondent, on one or more occasions, physically and/or verbally harassed, abused or intimidated Patient B.

D. Respondent, a psychiatrist, provided medical care to Patient C, a 32-year old female at the time of treatment, on or about July 13, 2013 through on or about August 13, 2013, at his office located at UCP/CHBS, 1427 Genesee Street, Utica, New York 13501, for Major Depressive Disorder, ADHD, and Panic Disorder, among other conditions. Respondent had treated Patient C prior to treating her at UCP/CHBS on July 13, 2013. Respondent's medical care of Patient C deviated from accepted standards of medical care as follows:

1. Respondent, during an office visit on or about July 13, 2013, conducted a psychiatric evaluation and mental status examination of Patient C noting a regimen of medications prescribed by prior providers over several years but failed to consult any of those providers and/or failed to document any consultation with any such providers.
2. Respondent's note regarding the July 13, 2013 visit made it appear that the visit was his first contact with Patient C, and Respondent failed to document that he had treated Patient C previously.
3. Respondent, on or about August 13, 2013, inappropriately prescribed Wellbutrin XL to be taken twice a day despite the medication being the 24-hour form of the drug to be administered once a day and/or failed to document a medical rationale for prescribing Wellbutrin XL and the frequency of administration of the medication.
4. Respondent inappropriately prescribed Wellbutrin XL to Patient C as Respondent was transferring Patient C's care to another provider.
5. Respondent failed to document an adequate rationale for continuation of Patient C's polypharmacy regimen and failed to enact and/or document an adequate treatment plan to address Patient C's medication regimen.

SPECIFICATION OF CHARGES

FIRST SPECIFICATION
CRIMINAL CONVICTION (N.Y.S.)

Respondent is charged with committing professional misconduct as defined in N.Y. Educ. Law § 6530(9)(a)(i) by having been convicted of committing an act constituting a crime under New York state law as alleged in the facts of the following:

1. The facts in Paragraph A.

SECOND THROUGH FOURTH SPECIFICATIONS

IN THE PRACTICE OF PSYCHIATRY, ANY PHYSICAL CONTACT OF A SEXUAL
NATURE BETWEEN LICENSEE AND PATIENT

Respondent is charged with committing professional misconduct as defined in N.Y. Educ. Law § 6530(44) by having in the practice of psychiatry, any physical contact of a sexual nature between licensee and patient as alleged in the facts of:

2. The facts as alleged in paragraph A.
3. The facts as alleged in paragraphs B and B2, B and B3a, B3b, and/or B3c, and/or
4. The facts as alleged in paragraphs C and C1.

FIFTH THROUGH SEVENTH SPECIFICATIONS

WILLFUL PHYSICAL AND VERBAL ABUSE

Respondent is charged with committing professional misconduct as defined in N.Y. Educ. Law § 6530(31) by having willfully harassed, abused or intimidated a patient physically and/or verbally as alleged in the facts of:

5. The facts as alleged in paragraph A, and/or
6. The facts as alleged in paragraphs B and B1, B and B2, B and B3a, B and B3b, B and B3c, and/or
7. The facts as alleged in paragraphs C and C2.

EIGHTH THROUGH ELEVENTH SPECIFICATIONS

MORAL UNFITNESS

Respondent is charged with committing professional misconduct as defined in N.Y. Educ. Law § 6530(20) by engaging in conduct in the practice of the profession of medicine that evidences moral unfitness to practice as alleged in the facts of the following:

8. The facts as alleged in paragraph A, and/or
9. The facts as alleged in paragraphs B and B1, B and B2, B and B3a, B and B3b, B and B3c, and/or
10. The facts as alleged in paragraphs C and C1, C and C2, and/or
11. The facts as alleged in paragraph D and D2.

TWELFTH SPECIFICATION

NEGLIGENCE ON MORE THAN ONE OCCASION

Respondent is charged with committing professional misconduct as defined in N.Y. Educ. Law § 6530(3) by practicing the profession of medicine with negligence on more than one occasion as alleged in the facts of two or more of the following:

12. The facts as alleged in paragraphs A, B and B1, B and B2, B and B3a, B and B3b, B and B3c, C and C1, C and C2, D and D1, D and D2, D and D3, D and D4, and/or D and D5.

THIRTEENTH SPECIFICATION

INCOMPETENCE ON MORE THAN ONE OCCASION

Respondent is charged with committing professional misconduct as defined in N.Y. Educ. Law § 6530(5) by practicing the profession of medicine with incompetence on more than one occasion as alleged in the facts of two or more of the following:

13. The facts as alleged in paragraphs A, B and B1, B and B2, B and B3a, B and B3b, B and B3c, C and C1, C and C2, D and D1, D and D2, D and D3, D and D4, and/or D and D5.

FOURTEENTH THROUGH SEVENTEENTH SPECIFICATIONS

GROSS NEGLIGENCE

Respondent is charged with committing professional misconduct as defined in N.Y. Educ. Law § 6530(4) by practicing the profession of medicine with gross negligence on a particular occasion as alleged in the facts of the following:

14. The facts as alleged in paragraph A, and/or
15. The facts as alleged in paragraphs B and B1, B and B2, B and B3a, B and B3b, B and B3c, and/or
16. The facts as alleged in paragraphs C and C1, C and C2, and/or
17. The facts as alleged in paragraphs D and D1, D and D2, D and D3, D and D4, and/or D and D5.

EIGHTEENTH THROUGH TWENTY-FIRST SPECIFICATIONS

GROSS INCOMPETENCE

Respondent is charged with committing professional misconduct as defined in N.Y. Educ. Law § 6530(6) by practicing the profession of medicine with gross incompetence as alleged in the facts of the following:

18. The facts as alleged in paragraph A, and/or
19. The facts as alleged in paragraphs B and B1, B and B2, B and B3a, B and B3b, B and B3c, and/or

20. The facts as alleged in paragraphs C and C1, C and C2, and/or
21. The facts as alleged in paragraphs D and D1, D and D2, D and D3, D and D4, and/or D and D5.

TWENTY-SECOND THROUGH TWENTY-THIRD SPECIFICATIONS

FAILURE TO MAINTAIN RECORDS

Respondent is charged with committing professional misconduct as defined in N.Y. Educ. Law § 6530(32) by failing to maintain a record for each patient which accurately reflects the evaluation and treatment of the patient, as alleged in the facts of:

22. The facts as alleged in paragraphs B and B1, and/or
23. The facts as alleged in paragraphs D and D1, D and D2, D and D3, and/or D and D5.

TWENTY-FOURTH THROUGH TWENTY-SEVENTH SPECIFICATION

FRAUDULENT PRACTICE

Respondent is charged with committing professional misconduct as defined by N.Y. Educ. Law § 6530(2) by practicing the profession of medicine fraudulently as alleged in the facts of the following:

24. The facts as alleged in paragraph A.
25. The facts as alleged in paragraphs B and B1, B and B2, B and B3a, B and B3b, B and B3c, and/or

26. The facts as alleged in paragraphs C and C1, C and C2, and/or
27. The facts of Paragraphs D and D2.

DATE: March 20, 2019
Albany, New York


TIMOTHY J. MAHAR
Deputy Counsel
Bureau of Professional Medical Conduct

EXHIBIT "B"

Requirements for Closing a Medical Practice Following a
Revocation, Surrender, Limitation or Suspension of a Medical License

1. Licensee shall immediately cease and desist from engaging in the practice of medicine in New York State, or under Licensee's New York license, in accordance with the terms of the Order. In addition, Licensee shall refrain from providing an opinion as to professional practice or its application and from representing that Licensee is eligible to practice medicine.
2. Within 5 days of the Order's effective date, Licensee shall deliver Licensee's original license to practice medicine in New York State and current biennial registration to the Office of Professional Medical Conduct (OPMC) at Riverview Center, 150 Broadway, Suite 355, Albany, New York 12204-2719.
3. Within 15 days of the Order's effective date, Licensee shall notify all patients of the cessation or limitation of Licensee's medical practice, and shall refer all patients to another licensed practicing physician for continued care, as appropriate. Licensee shall notify, in writing, each health care plan with which the Licensee contracts or is employed, and each hospital where Licensee has privileges, that Licensee has ceased medical practice. Within 45 days of the Order's effective date, Licensee shall provide OPMC with written documentation that all patients and hospitals have been notified of the cessation of Licensee's medical practice.
4. Licensee shall make arrangements for the transfer and maintenance of all patient medical records. Within 30 days of the Order's effective date, Licensee shall notify OPMC of these arrangements, including the name, address, and telephone number of an appropriate and acceptable contact person who shall have access to these records. Original records shall be retained for at least 6 years after the last date of service rendered to a patient or, in the case of a minor, for at least 6 years after the last date of service or 3 years after the patient reaches the age of majority, whichever time period is longer. Records shall be maintained in a safe and secure place that is reasonably accessible to former patients. The arrangements shall include provisions to ensure that the information in the record is kept confidential and is available only to authorized persons. When a patient or a patient's representative requests a copy of the patient's medical record, or requests that the original medical record be sent to another health care provider, a copy of the record shall be promptly provided or forwarded at a reasonable cost to the patient (not to exceed 75 cents per page.) Radiographic, sonographic and similar materials shall be provided at cost. A qualified person shall not be denied access to patient information solely because of an inability to pay.
5. In the event that Licensee holds a Drug Enforcement Administration (DEA) certificate for New York State, Licensee shall, within 15 days of the Order's

- effective date, advise the DEA, in writing, of the licensure action and shall surrender Licensee's DEA controlled substance privileges for New York State to the DEA. Licensee shall promptly surrender any unused DEA #222 U.S. Official Order Forms Schedules 1 and 2 for New York State to the DEA. All submissions to the DEA shall be addressed to Diversion Program Manager, New York Field Division, U.S. Drug Enforcement Administration, 99 Tenth Avenue, New York, NY 10011.
6. Within 15 days of the Order's effective date, Licensee shall return any unused New York State official prescription forms to the Bureau of Narcotic Enforcement of the New York State Department of Health. If no other licensee is providing services at Licensee's practice location, Licensee shall properly dispose of all medications.
 7. Within 15 days of the Order's effective date, Licensee shall remove from the public domain any representation that Licensee is eligible to practice medicine, including all related signs, advertisements, professional listings (whether in telephone directories, internet or otherwise), professional stationery or billings. Licensee shall not share, occupy, or use office space in which another licensee provides health care services.
 8. Licensee shall not charge, receive or share any fee or distribution of dividends for professional services rendered by Licensee or others while Licensee is barred from engaging in the practice of medicine. Licensee may be compensated for the reasonable value of services lawfully rendered, and disbursements incurred on a patient's behalf, prior to the Order's effective date.
 9. If Licensee is a shareholder in any professional service corporation organized to engage in the practice of medicine, Licensee shall divest all financial interest in the professional services corporation, in accordance with New York Business Corporation Law. Such divestiture shall occur within 90 days. If Licensee is the sole shareholder in a professional services corporation, the corporation must be dissolved or sold within 90 days of the Order's effective date.
 10. Failure to comply with the above directives may result in a civil penalty or criminal penalties as may be authorized by governing law. Under N.Y. Educ. Law § 6512, it is a Class E Felony, punishable by imprisonment for up to 4 years, to practice the profession of medicine when a professional license has been suspended, revoked or annulled. Such punishment is in addition to the penalties for professional misconduct set forth in N.Y. Pub. Health Law § 230-a, which include fines of up to \$10,000 for each specification of charges of which the Licensee is found guilty, and may include revocation of a suspended license.